

Annex

Figures relating to the Issuance of “Profit Warning” Notices and on Cases under Investigation provided by the SEHK

	2006	2007	2008 (Jan-Oct)
Number of “profit warning” notices issued	33	54	173
Number of cases where follow-up enquiries were made by the Listing Division	2	15	39
Number of disciplinary investigations conducted by the Listing Division	1	1	6