

**The Number of New Applications for Authorisation of Investment Products
SFC Received and Approved Respectively from 2007 to 2010**

Table 1 Number of New Applications Received Each Year from 2007 to 2010

	2007	2008		2009	2010
		(Q1-Q3)	(Q4)		
Unit trusts and mutual funds	358	535 (479)	(56)	196	224
Investment-linked assurance schemes	29	19 (18)	(1)	10	17
Unlisted structured investment products	85	62 (61)	(1)	6	42

Notes

- The above includes new applications received by SFC that may eventually be withdrawn or put on hold at the request of the applicants.
- For unlisted structured investment products, the above represents the number of offering documents in respect of new products only.

Table 2 Number of New Applications Approved Each Year from 2007 to 2010

	2007	2008		2009	2010
		(Q1-Q3)	(Q4)		
Unit trusts and mutual funds	257	404 (334)	(70)	195	147
Investment-linked assurance schemes	29	18 (18)	(0)	7	7
Unlisted structured investment products	79	64 (64)	(0)	7	17

Note

- The applications approved during the relevant period may not necessarily be submitted in the same period (e.g. an application may be submitted in 2008 and authorised in 2009).

Source: Securities and Futures Commission