

Table 1

The number of unusual price and trading volume movements announcements made under Rule 17.11 of the Rules Governing the Listing of Securities on the Growth Enterprise Market (GEM Listing Rules) as well as the number of companies listed on the Growth Enterprise Market (GEM) that issued such announcements in each of the past five years are as follows:

Year	Number of unusual price and trading volume movements announcements under GEM Rule 17.11 issued by GEM companies	Number of GEM companies that issued unusual price and trading volume movements announcements under GEM Rule 17.11	Number of GEM companies as at the end of the year
2010	18	13	169
2011	26	24	170
2012	17	15	179
2013	34	26	192
2014	36	26	204
2015 (up to September 30)	54 ¹	39	211

Source of information: The Stock Exchange of Hong Kong (SEHK)

¹ Most of the unusual price and volume movements announcements under GEM Rule 17.11 (43 out of 54) in 2015 were published in the first half of 2015 during which the trading activities were significantly more active than that of the same period in 2014 (average daily turnover of the GEM market in the first half of 2015 was 3.3 times of the same period in 2014). As such, more GEM Rule 17.11 announcements were made in 2015 as compared with 2014.

Table 2

The number of announcements made by the Securities and Futures Commission (SFC) in respect of high concentration of the shareholding of GEM companies and the number of GEM companies involved in each of the past five years are as follows:

Year	Number of high concentration announcements issued in respect of GEM companies	Number of GEM companies involved
2010	4	4
2011	1	1
2012	4	4
2013	1	1
2014	7	7
2015 (Up to October 29)	6	6

Source of information: SFC