

## Annex

The breakdown of the number of complaints so far received by the Securities and Futures Commission from Goodcape Securities Limited's clients against the company and the total amount of money involved is provided below:

<b>Nature of complaints</b>	<b>No. of cases</b>	<b>Reported amount (HK\$million)</b>
Securities dealing	365	\$126.05
Deposit taking	7	\$21.5
Securities dealing and deposit taking	20	\$20.72
<b>Total</b>	<b>392</b>	<b>\$168.27</b>