



Enrolment Form
Anti-Money Laundering Seminar for the Securities Sector

To: Seine Luk
Fax: 2293-5721
Email: aml_seminar@sfc.hk

Firm name: _____

CE Ref: _____

Please nominate, in order of priority, a maximum of two persons to attend the seminar(s):

	Name	Job Title	Telephone no.	Fax	Email address	Session chosen A: English – 30 Nov 2011 a.m. or B: Cantonese – 15 Dec 2011 p.m. (Must choose A or B)
1.						
2.						

For and on behalf of the firm

Name:
Job Title:
Telephone no.:

Note:

- The seminars are free of charge.
- Enrolments are subject to a maximum of 2 places per firm.
- As seats are very limited, we will attempt to allocate the nominees listed as first priority in all enrolment forms received by the deadline on a first come first served basis. If there are seats remaining, we will proceed to allocate those listed as second priority.
- The SFC will send the confirmation slip directly to all individuals who are allocated a place at least two days before the seminar. Please present the confirmation slip for admission at the venue.
- The personal data supplied is solely used for the purposes of facilitating the organization and conduct of the captioned seminars. It will be destroyed when the said purposes are fulfilled.