



**Enrolment Form**  
**Anti-Money Laundering and Counter-Terrorist Financing Seminars for the Securities Sector**

To: Kiki Wong  
Fax: 2293-4087  
Email: [aml\\_seminar@sfc.hk](mailto:aml_seminar@sfc.hk)

Firm name: \_\_\_\_\_

CE Ref: \_\_\_\_\_

**Please nominate, in order of priority, a maximum of three persons to attend the seminar:**

	Name	Job Title	Telephone	Fax	Email	Time of preference (select at least two time slots for each nominee in the order of preference) <u>17 October 2012</u> A: 9:15a.m. to 12:15 pm. (English) B: 2:00 p.m. to 5:00 p.m. (Cantonese)  <u>19 October 2012</u> C: 2:00 p.m. to 5:00 p.m. (Cantonese)
1.						
2.						
3.						

For and on behalf of the firm

\_\_\_\_\_  
Name:

Job Title:

Telephone:

**Note:**

- The seminar is free of charge and 3 CPT hours will be offered to those who attend the whole session.
- Each firm should only submit one enrolment form. Please complete and return this form on or before 3 October 2012 by fax at 2293-4087 or email at [aml\\_seminar@sfc.hk](mailto:aml_seminar@sfc.hk).
- As seats are limited, we will attempt to allocate the nominees listed as first priority in all enrolment forms received by the deadline on a first come first served basis. Any remaining seats will be allocated to the other nominees in the order of priority and if possible, according to their time of preference.



- *The SFC will send the confirmation slip directly to all successful applicants at least two days before the seminar. Please present the confirmation slip for admission at the venue.*
- *The personal data supplied is solely used for the purposes of facilitating the organization and conduct of the captioned seminars. It will be destroyed when the said purposes are fulfilled.*