



Anti-Money Laundering and Counter-Terrorist Financing Seminars

Rundown and Speakers

17 October 2012 a.m. (English)

Lecture Theatre, G/F, Hong Kong Central Library, Causeway Bay

Time	Topic	Speakers
09:00-09:15	Registration	
09:15-09:30	Opening Address	Mrs Yvonne Mok Director Securities and Futures Commission
09:30-10:15	The Role of JFIU in the Hong Kong AML Regime & Money Laundering Methods	Ms Ruby Look Senior Inspector of Police Joint Financial Intelligence Unit
10:15-10:30	Break	
10:30-11:15	Key compliance issues on AML/CFT	Mr Jeffery Chan / Mr Ivan Wan Senior Manager / Manager Securities and Futures Commission
11:15-12:00	Experience Sharing	Mr David Cope Executive Director Legal and Compliance Morgan Stanley
12:00-12:15	Q & A	Chaired by Securities and Futures Commission



17 October 2012 p.m. (Cantonese) & 19 October 2012 p.m. (Cantonese)

Time	Topics	Speakers
13:45-14:00	Registration	
14:00-14:15	Opening address	Mrs Yvonne Mok Director Securities and Futures Commission
14:15-15:00	The Role of JFIU in the Hong Kong AML Regime & Money Laundering Methods	Mr Sam Ho - 17 October 2012 Senior Inspector of Police Mr Cyril Mak - 19 October 2012 Chief Inspector of Police Joint Financial Intelligence Unit
15:00-15:15	Break	
15:15-16:00	Key compliance issues on AML/CFT	Mr Jeffery Chan / Mr Ivan Wan Senior Manager/ Manager Securities and Futures Commission
16:00-16:45	Experience Sharing	Ms Grace Ng Director Compliance UBS
16:45-17:00	Q & A	Chaired by Securities and Futures Commission