



Rundown and Speakers

15 November 2013 a.m. (English) and 18 & 25 November 2013 a.m. (Cantonese)

Each seminar will cover the same topics as follows:

Time	Topic	Speakers
09:00-09:15	Registration	
09:15-09:25	Opening Address	Mr Raymond Wong Director Intermediaries Supervision, Intermediaries Securities and Futures Commission
09:25-10:10	Role of JFIU in HK's AML Regime & Quality of Suspicious Transaction Reporting	Miss Christine Hui - 15 November 2013 Miss Ruby Look - 18 November 2013 Mr Herman Li - 25 November 2013 Senior Inspector of Police Joint Financial Intelligence Unit (JFIU)
10:10-10:30	Break	
10:30-11:15	AML/CFT Supervision and Key Compliance Focus	Mr Ronald Mak / Mr Ivan Wan Senior Manager / Manager Intermediaries Supervision, Intermediaries Securities and Futures Commission
11:15-12:00	Experience Sharing on Identification and Reporting of Suspicious Transactions and Staff Training	Mr David Hsu Managing Director Compliance Citibank, N.A.
12:00-12:15	Q & A	Chaired by Securities and Futures Commission