

Anti-Money Laundering Seminars 2012

Programme on 20 September 2012 (Thursday)

Time	Topics	Speakers
1:15 – 1:30 pm	Registration	
1:30 – 1:45 pm	Opening Address	Mr. James Tam Division Head Hong Kong Monetary Authority
1:45 – 2:15 pm	Common AML Deficiencies in Suspicious Transaction Reporting and Transaction Monitoring	Mr. Stewart McGlynn Senior Manager (AML) Hong Kong Monetary Authority
2:15 – 2:45 pm	Suspicious Transaction Reports (Trends & Quality Improvement)	Mr. Bernard Law Chief Inspector Joint Financial Intelligence Unit
2:45 – 3:10 pm	Break	
3:10 – 3:30 pm	Measures for Politically Exposed Persons	Mr. Stewart McGlynn Senior Manager (AML) Hong Kong Monetary Authority
3:30 – 4:00 pm	Politically Exposed Persons Managing the Risk	Mr. David Cope Executive Director Legal and Compliance Morgan Stanley
4:00 – 4:30 pm	Panel – Politically Exposed Persons	Chaired by Hong Kong Monetary Authority

Programme on 31 October 2012 (Wednesday)

Time	Topics	Speakers
9:15 – 9:30 am	Registration	
9:30 – 9:45 am	Opening Address	Mr. James Tam Division Head Hong Kong Monetary Authority
9:45 – 10:15 am	Common AML Deficiencies in Suspicious Transaction Reporting and Transaction Monitoring	Mr. Stewart McGlynn Senior Manager (AML) Hong Kong Monetary Authority
10:15 – 10:45 am	Suspicious Transaction Reports (Trends & Quality Improvement)	Mr. Bernard Law Chief Inspector Joint Financial Intelligence Unit
10:45 – 11:10 am	Break	
11:10 – 11:30 am	Measures for Politically Exposed Persons	Mr. Stewart McGlynn Senior Manager (AML) Hong Kong Monetary Authority
11:30 am – 12:00 pm	Politically Exposed Persons Managing the Risk	Mr. David Cope Executive Director Legal and Compliance Morgan Stanley
12:00 – 12:30 pm	Panel – Politically Exposed Persons	Chaired by Hong Kong Monetary Authority